

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Daniel L. Krissell

Serial No.: To be assigned

Filed: Concurrently herewith

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR CONTROLLING DATA TRANSFER FOR DATA REPLICATION OR BACKUP BASED ON SYSTEM AND/OR NETWORK RESOURCE INFORMATION**

July 9, 2003

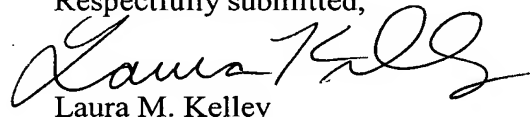
Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to IBM Deposit Account No. 09-0461.

Respectfully submitted,



Laura M. Kelley

Registration No. 48,441

USPTO Customer No. 20792  
Myers Bigel Sibley & Sajovec  
PO Box 37428  
Raleigh NC 27627  
Tel (919) 854-1400  
Fax (919) 854-1401

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV 353610024 US

Date of Deposit: July 9, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
Carey Gregory

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				Attorney Docket Number 5577-257		Serial No. To be assigned	
				Applicants: Daniel L.Krissell			
				Filing Date: Concurrently herewith		Group unknown	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	2003/0041074	2/27/03	Vasudevan et al.	707	204	
	2.	2002/0049776	4/25/02	Aronoff et al.	707	200	
	3.	2002/0091814	7/11/02	Arendt et al.	709	223	
	4.	2002/0174139	11/21/02	Midgley et al.	707	204	
	6.	6,421,688	7/16/02	Song	707	203	
	6.	6,415,027	7/2/02	Malik	379	221.01	
	7.	6,330,570	12/11/01	Crighton	707	204	
	8.	6,263,201	7/17/01	Hashimoto et al.	455	403	
	8.	6,038,665	3/14/00	Bolt et al.	713	176	
	10.	5,974,563	10/26/99	Beeler Jr.	714	5	
	11.	5,920,701	7/6/99	Miller et al.	395	200.58	
	12.	5,854,754	12/29/98	Cabrera et al.	364	578	
	13.	5,758,359	5/26/98	Saxon	707	204	
	14.	5,758,067	5/26/98	Makinen et al.	395	185.07	
	15.	5,212,772	5/18/93	Masters	395	200	
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	16.	9237212	9/9/97	JP			abstract
	17.	03/005158	1/16/03	WO			
	16.	02/46873	6/13/02	WO			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							

 EXAMINER  
 \*EXAMINER

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.